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Dkt. 2271/65729

Section
#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Masaki KATOH et al.

Serial No. : 09/928,882 Group Art Unit: 2652

Date Filed : August 13, 2001 Examiner:

For : PHASE-CHANGE TYPE OPTICAL
INFORMATION RECORDING MEDIUM

1185 Avenue of the Americas
New York, N.Y. 10036

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION-FILING DATE GRANTED
AND TRANSMISSION OF ASSIGNMENT FOR RECORDATION

In response to the Notice to File Missing Parts of Application-Filing Date Granted dated September 19, 2001, applicants hereby transmit (i) copy of the PTO Notice, (ii) Declaration and Power of Attorney, and (iii) check for \$130 surcharge for late filing of the Declaration.

Applicants also transmit for recordation (iv) an Assignment of this invention to Ricoh Company, Ltd., (v) Recordation Form Cover Sheet (Form PTO-1595), and (vi) check for the \$40 recordation fee.

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Richard F. Jaworski
Richard F. Jaworski
Reg. No. 33,515

NOV 11, 2001
Date

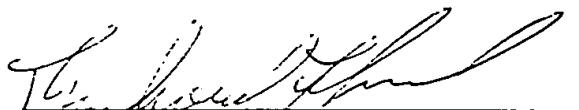
KATOH, S.N. 09/928,882
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The Commissioner is authorized to charge any additional fees, or to credit any overpayment, to our Deposit Account No. 03-3125.

If a petition for an extension of time is required to make this response timely, this paper should be considered to be such a petition, and the Commissioner is authorized to charge the requisite fees to our Deposit Account No. 03-3125.

Respectfully submitted,



RICHARD F. JAWORSKI, Reg. No. 33,515
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UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 17 2002

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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,882	08/13/2001	Masaki Katoh	2271/65729

CONFIRMATION NO. 8147

FORMALITIES LETTER



OC00000006575506

RICHARD F. JAWORSKI
 Copper & Dunham LLP
 1185 Avenue of the Americas
 New York, NY 10036

Date Mailed: 09/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

S. H.
 Customer Service Center
 Initial Patent Examination Division (703) 308-1202
 PART 2 - COPY TO BE RETURNED WITH RESPONSE

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